

Schedule 2

ISSUER REGISTRATION STATEMENT
Sections 97(2), 97(3) and 97(4) of the Securities Act, 2001

FORM RS - 2

Select One: Annual Registration Issue of Securities

1st National Bank St. Lucia Limited

(Exact name of Company as set forth in Certificate of Incorporation)

Place and date of incorporation: **Saint Lucia, December 1937**

Street and postal address of registered office:
#21 Bridge Street, P.O. Box 168, Castries, Saint Lucia

Company telephone number: **(758) 455-7000**

Fax number: **(758) 453-1630**

Email address: **manager@1stnationalbankslu.com**

Financial year-end: **December** **31** **2019**
 (month) (day) (year)

Contact person(s): **Henri-Jacques Mangal**

Telephone number (if different from above): (_____) _____

Fax number: (_____) _____

Email address: **hmangal@1stnationalbankslu.com**

Did the company file all reports required to be filed by Section 98 of the Securities Act, 2001 during the preceding 12 months?

Yes No

Did the company file all reports required to be filed by the Companies Act during the preceding 12 months?

Yes No

1. Description of the Industry in which the Company Operates

The Bank operates in the very competitive financial services industry and provides full retail commercial banking to its clients. Some of the services include the following:

- | | |
|-------------------------------|------------------------|
| Savings and current accounts | Swift transfers |
| Fixed deposits | Safe deposit boxes |
| Standing orders | Night deposit services |
| Foreign exchange transactions | ATM facilities |
| Mortgages/Consumer loans | Credit card facilities |
| Commercial loans | Debit card facilities |
| Letters of credit | Overdraft facilities |
| Cash advances | Internet banking |
| Cash management services | Guarantees |
| Bills for collection | Mobile Banking |

2. Exchanges on which the Company's Securities are Listed N/A

Exchange(s)	Securities Type	No. of Shares	Valuation

3. Description of Securities Being Offered N/A

4. Territories in which Securities are Being Offered- St. Lucia

Territory	Effective Date

5. Description of Share Capital

a) Authorised

TYPE/CLASS	No. OF SHARES
Ordinary	7,000,000

b) Issued

TYPE/CLASS	No. OF SHARES
Ordinary	7,000,000

c) Outstanding

TYPE/CLASS	No. OF SHARES
Ordinary	6,549,465

6. EXECUTIVE OFFICERS AND KEY PERSONNEL OF THE COMPANY

Position: Managing Director

Name: Johnathan Johannes

Age: 42 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7201

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Director of Sales (OECS Region), Unicomer Group - April 2012 – May 2017
Managing Director, 1st National Bank St. Lucia Limited – June 2017 – Present
Responsibilities – Oversight & Responsibility for the daily operations of the
Bank;
Development of the Bank's Strategic Plan

Education (degrees or other academic qualifications, schools attended, and dates):

University of Lincoln, UK – Bachelor of Arts (Hons.), International Business Administration –
1996- 1999

Also a Director of the company [*] Yes [] No

If retained on a part time basis, indicate amount of time to be spent dealing with company
matters: **N/A**

Use additional sheets if necessary.

Name: Aurea Lafeuillée

**Position: Executive Manager,
Finance**

Age: 56 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Finance & Research – 1st National Bank St. Lucia Limited, 2013 to date;
Managing Director (Acting) July 2016 to June 2017.

Responsibilities: Co-ordinate & compile the Annual Budget with the Executive Management Team;
Assist in the Development of the Strategic Plan;
Preparation of forecasts of likely cash requirements and management of the Bank's liquidity;
Review the monthly management accounts and prepare analyses to inform management of the Bank's performance;
Manage the Investment Portfolio;
Liaise with External Auditors & ECCB Examiners.

Education (degrees or other academic qualifications, schools attended, and dates):

Professional Banking Course – Institute of Canadian Bankers - 2005
Branch Managers Course – CSI Global Education Inc. (CSI) – 2013
Partners, Directors and Senior Officers Qualifying Examination – CSI - 2014
Fellow of the Institute of Canadian Bankers (FICB) - 2014;
Fellow, Chartered Association of Certified Accountants (FCCA).

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

Name: Valery Marshall-St. Omer

**Position: Executive Manager,
Operations & Client Support**

Age: 52 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Human Resources, Organizational Development & Support
Services; November 2013 to December 2017.
Executive Manager, Operations & Client Support – December 2017 – present

Responsibilities: Overseeing Branch Operations;
Execute Business Automation Projects;
Improve the Account Reconciliation functions;
Improve the Client Support Unit to deliver superior Customer Experience;

Education (degrees or other academic qualifications, schools attended, and dates):

St. Joseph's Convent, St. Lucia; GCE "O" and "A" Levels.
University of Wales- Post-Graduate Diploma in Business Administration (Human
Resource Management) - 2014
Anglia Ruskin University – MBA (Human Resource Management) 2018

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company
matters: N/A

Use additional sheets if necessary.

Name: Sylvia Alcee

**Position: Executive Manager,
Sales**

Age: 58 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Credit and Client Services; November 2013 to February 2017
Executive Manager, Sales – February 2017 – present

Responsibilities: Lead the Sales Team in assessing the financial needs of clients and provide options to meet the needs of clients;
Growth and relationship building opportunities with an emphasis on Mortgage Lending, Small Business lending, retail and corporate lending.

Education (degrees or other academic qualifications, schools attended, and dates):

Castries Comprehensive School, St. Lucia; GCE "O" Levels.
Associate of the Institute of Canadian Bankers.
MBA, Business Administration (2016)

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: **N/A**

Use additional sheets if necessary.

Name: Clarette Auguste-Taylor

**Position: Executive Manager,
Risk, Compliance, Recoveries &
Securities**

Age: 57 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Risk, Compliance, Recoveries & Securities - November 2013 to date.

Education (degrees or other academic qualifications, schools attended, and dates):

St. Joseph's Convent, St. Lucia, GCE "O".
St. Lucia "A" Level College - "A" Levels.
ABE Diploma.
Executive Diploma in HR Management -2000.
Florida International Bankers Association (FIBA) Inc. – FATCA – 2015
Florida International Bankers Association (FIBA) Inc. – Anti Money Laundering
Certified Associate – 2016
Certified Information Security – Business Continuity – 2017
Certified Information Security – Enterprise Risk Management - 2017

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

Name: Pius Cleveland Robert Fevrier

**Position: Executive Manager,
Marketing & PR**

Age: 55 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: () 1 758 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Marketing and Public Relations - 2013 to date.

Responsibilities: Conceptualise and initiate Marketing Campaigns;
Undertake Corporate Social Responsibility Activities;
Brand Building Activities;
Ensure the Business Continuity Plan is fully implemented;
Co-ordinate and review data for Customer Satisfaction Surveys.

Education (degrees or other academic qualifications, schools attended, and dates):

Castries Comprehensive Secondary School, St. Lucia, GCE "O" Levels.
Executive Diploma in Business Management - 2002.

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: **N/A**

Use additional sheets if necessary.

Name: Denise Holden-Pierre

**Position: Executive Manager,
Internal Audit (Until May 2019)**

Age: 53 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Executive Manager, Internal Audit, 1st National Bank St. Lucia Ltd - 2013 to date.

Responsibilities: Develop & Implement the Annual Audit Plan;
Conduct audits with unrestricted access to all records;
Notify the Managing Director and the Audit Committee of significant suspected fraudulent activities;
Evaluate significant new or changing services, processes, system operations and control processes.

Education (degrees or other academic qualifications, schools attended, and dates):

St. Joseph's Convent, St. Lucia, GCE "O" Levels.
St. Lucia "A" Level College - "A" Levels.
Fellow, Chartered Association of Certified Accountants (FCCA).

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

Name: Henri-Jacques Mangal

**Position: Corporate Secretary &
Legal Officer**

Age: 31 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Associate Attorney-at-Law, Chong & Co. July 2014 – December 2015.
Corporate Secretary & Legal Officer, 1st National Bank St. Lucia Limited – December 2015 to date.

Responsibilities: Provide legal guidance to the Board & Management;
Ensure compliance with statutory and regulatory requirements;
Implementation of Board Decisions;
Organise the orientation and re-orientation of Directors;
Organise annual training sessions for Directors in the areas Corporate Governance
and other Banking related fields.

Education (degrees or other academic qualifications, schools attended, and dates):

St. Mary's College, St. Lucia, GCE "O" Levels – 2005.
Sir Arthur Lewis Community College, Cambridge "A' Levels -2007.
University of the West-Indies Cave Hill Campus, Bachelor of Laws Degree (LL.B) –
2011.
Hugh Wooding Law School, Legal Education Certificate (L.E.C.) – 2013.
Director's Education and Accreditation Program (DEAP) accredited with the Institute
of Chartered Secretaries and Administrators (ICSA), Canada - 2016.

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company
matters: N/A

Use additional sheets if necessary.

Name: Prisca Eristhee-Delice

**Position: Executive Manager,
Human Resource & Organisational
Development**

Age: 49 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

HR Generalist Consultant/Frank Covey Trainer - October 2015 - Present
Human Resource Manager, Winward & Leeward Brewery Limited (WLBL) St. Lucia,
Subsidiary of Heineken International - October 2001- July 2015.

Executive Manager, Human Resource & Organisational Behaviour -1st National Bank St.
Lucia Limited - December 2017 – Present

Responsibilities:

HR's role will be to become the strategic business partner by developing and implementing a winning strategy for the Bank's human capital thereby ensuring the right people with the right mindset and capabilities in the right places to deliver on the Bank's strategic initiatives;
To build the Bank's capacity through relevant functional and customized training;
To embed the "winning" culture within the organization;
To make the Bank a preferred employer, through effective recruitment, selection, retention, succession and development policies;
To effect a performance driven culture within the organization, by ensuring that the necessary performance tools, mechanisms and interventions are in place and effected;
To ensure effective HR policy development and implementation.

Education (degrees or other academic qualifications, schools attended, and dates):

M.A. Business and Management, University of East London, Duncan House, High Street London, United Kingdom (1996-1997);
BSc. Industrial Management, University of the West Indies, St. Augustine Campus, Trinidad & Tobago, (1991-1994)

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

Name: Mozel Isaac

**Position: Executive Manager,
Information Technology**

Age: 40 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

OECS Information Technology Manager – Unicomer (St. Lucia) Limited

Executive Manager, Information Technology -1st National Bank St. Lucia Limited -
September 2018 – Present

Responsibilities:

Management of (5) five IT personnel.

- Implementation policies and procedures to encompass daily, weekly and monthly IT operations.
- Established and maintained successful vendor relationships ranging from telecommunications to computer hardware and other outsourced solutions.
- Spearhead business wide paperless office initiative, to create many PDF forms replacing hard copy documents.

To ensure effective HR policy development and implementation.

Education (degrees or other academic qualifications, schools attended, and dates):

**University of North Carolina at Charlotte – Bachelor of Science in
Computer Science**

Also a Director of the company Yes No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

Name: Teather Thomas

**Position: Executive Manager,
Internal Audit (From November
2019)**

Age: 37 years

Mailing Address: P.O Box 168, Castries, St. Lucia

Telephone No.: (758) 455 7000

List jobs held during past five years (including names of employers and dates of employment).
Give brief description of current responsibilities.

Inland Revenue Department - Saint Lucia (Tax Inspector and Senior Tax Inspector) - June 2012 to September 2018

1st National Bank St. Lucia Ltd.
Assistant Manager, Internal Audit October 06, 2018 to October 31, 2019
Executive Manager, Internal Audit November 01, 2019 to (present)

Responsibilities:

Develop a thorough understanding of business processes in scope for assigned audits;
Accurately test controls and procedures;
Perform audit tests and prepare working papers;
Prepare concise and informative audit reports to effectively communicate the findings and recommendations to senior management;
Conduct and document pre-audit meetings;
Meet with management post the audit to discuss the findings of the audit report;
Follow-up on deficiencies with management until their resolution;
Produce training reports;
Review policies and procedures, to ensure they are compliant with ECCB guidelines, legislation and best practices;
Make recommendations to the audit committee for updating the policies and procedures where necessary;
Preparation of the units Annual workplan and Budget;
Provide support to the audit committee and attend audit committee meetings, follow-up on matters arising, producing reports as required by the committee;

Education (degrees or other academic qualifications, schools attended, and dates):

London School of Business and Finance:

Masters Degree, Finance and Investment with specialization in Financial Risk Management (April 2018)

ACCA Certification (completed on a full-time basis December 2014)

Chartered Accountant (October, 2015)

Also a Director of the company [] Yes [*] No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters: N/A

Use additional sheets if necessary.

7. DIRECTORS OF THE COMPANY

Information concerning non-Executive Directors:

Name: Nigel Fulgence

Position: Chairperson & President
Age: 58

Mailing Address: P.O. Box 1471, Castries, St. Lucia

Telephone No.: (758) 457 4400

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Construction Engineer - St. Lucia Electricity Services Ltd. 2004-Present

Responsibilities:

Responsible for capital projects with regards to the distribution lines;

Responsible for live line unit;

Responsible for the training of the linesmen and contractors;

Responsible for the annual certification of contractors;

Management representative of the Transmission and Distribution Department on the health and safety committee.

Education (degrees or other academic qualifications, schools attended, and dates):

Bachelor of Science Degree (Hons.) P. Eng. John Moores University, England (1996);
Director Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada (2009).

Name: Brenda Floissac-Fleming

Position: Director

Age: 59

Mailing Address: P.O. Box 722, Castries, St. Lucia

Telephone No.: (758) 452 2887

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Lawyer-Floissac, Fleming and Associates.

Responsibilities:

Providing legal guidance to clients on a wide range of matters;
Responsible for the International Business Companies portfolio which is maintained by the associated company Abacus Financial Services Limited, a licensed registered agent under the Registered Agent & Trustee Licensing Act.

Education (degrees or other academic qualifications, schools attended, and dates):

B.A. (Hons.) Law - 1984;
Director Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada - 2010.

Name: Johnson Cenac

Position: Director (Until May 30th 2019)
Age: 76

Mailing Address: Belmar Lane, Morne Forutne
P.O. Box 1079, Castries, Saint Lucia

Telephone No.: (758) 452 7255

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Immediate past Parliamentary Commissioner 2016
Retired Civil Servant, Lecturer and Administrator.

Education (degrees or other academic qualifications, schools attended, and dates):

Hubert Humphrey Fellowship (1986).

M. Ed. Degree, Testing, Measurement and Evaluation; University of Arizona (1980);
B.A. Degree (Hon), Mathematics and Economics; University of the West Indies (1976);

UWI endorsed Teaching Certificate, St. Lucia Teachers College (1969);

Director's Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada.

Name: Tedburt Theobalds

Position: Director

Age: 71

Mailing Address: St. Jude's Highway, Vieux-Fort, P.O. Box 710, Castries

Telephone No.: (758) 454 6002

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Theobalds & Associates - Valuation Surveyor/Agricultural Consultant 1994-Present;

Responsibilities:

General Valuation/appraisal
Building surveying
Quantity surveying
Agricultural surveying
Commercial surveying
Industrial surveying
Residential surveying

Education (degrees or other academic qualifications, schools attended, and dates):

ECIAF, Centeno, Trinidad: - Diploma in Agriculture (1968-1970);
UWL CARIMAC, Mona, Jamaica: Diploma in Mass Communications (1978 - 1979);
UWI, St. Augustine, Trinidad: Diploma in Agriculture Extension (1983-1984);
UWI, CMD, Cave Hill, Barbados: Master of Business Administration (1993-1995);
Western States University, Missouri, USA: BSc (Val. Science) (1996 - 2000).
Director's Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada.

Name: Geraldine Lendor-Gabriel

Position: Director

Age: 53

Mailing Address: P.O. Box CP 6251, Castries, Saint Lucia

Telephone No.: (758) 451 7202

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Business and Environmental Consultant – 2007 to present.

Responsibilities: Providing Consultancy services locally and regionally in areas including Management, Finance and Environmental Management.

Education (degrees or other academic qualifications, schools attended, and dates):

Msc – Environmental Management – University of Derby, UK (2007);
Postgraduate Diploma – Environmental Management - University of Derby, UK (2005)
Certified General Accountants, Canada – Chartered Accountant (1997);
BSc – Economics and Management – University of the West-Indies (1991);
Director's Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada.

Name: V. Adrian Augier

Position: Director (Until May 30th 2019)

Age: 60

Mailing Address: P.O. Box RB 2359, Rodney Bay, Gros-Islet, Saint Lucia

Telephone No.: () 1 758 452 8417

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

2011 to date: Consultant – Economic Development / Creative Industries
Policy Design and Advocacy, Advisory Services, Investment.

Chairman, Landmark Group
Investment and Asset Management.

Managing Director, Landmark Events Ltd, St. Lucia
Event Design, Production and Management.

Education (degrees or other academic qualifications, schools attended, and dates):

2012: Honorary Doctorate – University of the West Indies

1990: **MA – Development Finance & Planning (Distinction)**
American University, Washington D.C.

1982: **BA – Economics/Political Science**
University of Western Ontario, Canada

1977: **St Lucia 'A' Level College**
Economics, English, French, Spanish

Name: Richard Monplaisir

Position: Director

Age: 54

Mailing Address: P.O. Box 1485, Castries, Saint Lucia

Telephone No.: (758) 452 0094

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Financial Comptroller, C.O. Williams Group of Companies.

Responsibilities: Administration & Finance

Education (degrees or other academic qualifications, schools attended, and dates):

B.A. (Hons) Business Administration and Accounting;
Director's Education and Accreditation Program (DEAP) accredited with the Institute of Chartered Secretaries and Administrators (ICSA), Canada.

Name: Jennifer Remy

Position: Director

Age: 69

Mailing Address: P.O. Box 1950, Castries, Saint Lucia

Telephone No.: () 1 758 456 0535

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

High Court Judge of the Eastern Caribbean Supreme Court: September 2009 – July 2013

Principal Attorney – Jennifer Remy & Associates 1983- 2009 & 2013 to date.

Responsibilities:

Providing legal advice to clients on a wide range of matters.

Education (degrees or other academic qualifications, schools attended, and dates):

Bachelor of Arts (B.A.) – Psychology & Philosophy - University of Western Ontario - 1971;

College of Law, London, England -1978.

Name: Agosta Degazon

Position: Director

Age: 54

Mailing Address: P. O. Box MA 088 Marchand, Castries, Saint Lucia

Telephone No.: () 1-758-468-2630

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

MINISTRY OF FINANCE - 2009 to 2014

Director of Finance (March 5, 2012 to March 11, 2014)

MINISTRY OF HOME AFFAIRS AND NATIONAL SECURITY - 2014 to 2018

Permanent Secretary (March 12, 2014 to May 27, 2018)

DEPARTMENT OF HOUSING, URBAN RENEWAL AND TELECOMMUNICATIONS – 2018 to date

Permanent Secretary (May 28, 2018 to date)

Responsibilities:

Reports to the Minister and has overall management responsibility for the Department

Education (degrees or other academic qualifications, schools attended, and dates):

M. B. A., University of the West Indies, Cave Hill Campus, 1998

B. Sc. (Accounting), University of the West Indies, Mona Campus, 1988

C. Dir., Caribbean Governance Training Institute Chartered Director Program, 2017

Association of Chartered Certified Accountants (ACCA)

Progress: Foundation Stage plus one subject in the Professional Stage

Name: Callistus Vern Gill

Position: Director

Age: 58

Mailing Address: P.O. Box 546, Castries, Saint Lucia

Telephone No.: () 1-758-452-2005

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Principal - Vern Gill Chambers 1990 – Present

Responsibilities:

Providing legal advice to clients on a wide range of matters.

Education (degrees or other academic qualifications, schools attended, and dates):

University of the West-Indies Cave Hill Campus, Barbados - B.A. History and Sociology (Hons.)(1980-1983)

University of the West-Indies Cave Hill Campus, Barbados - LL.B Bachelor of Law (Hons.) (1983-1986)

London School of Economics and Political Science - LLM Master of Law -Public International Law (1988 -1989)

University College London - Certificate in Air and Space Law (1988 - 1990)

Holborn Law Tutors - Certificate in Legal Education, Barrister of the Middle Temple (1989 - 1990)

Name: Mauricia Thomas Francis

Position: Director (From May 30th 2019)
Age: 60

Mailing Address: P.O. Box GR 5132, Grande Riviere Post Office, Gros-Islet

Telephone No.: () 1-758-729-2368

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Monroe College - Adjunct Professor - 2010

Parliament of Saint Lucia - Independent Senator/Deputy President of the Senate - June 2016

Self Employed - Training and Business Consultant - 2014

Entrepreneur - Le Village Creole Ltd./ Goute Ste. Lici - 2017/2016

Responsibilities:

1. Lecture in Organisational Behaviour, Leadership, Business Ethics, HR
2. Review, debate and approve/reject legislation in the House of Assembly (Upper House)
3. Facilitate training to organisations
4. Business Management Consultant and Managing Director

Education (degrees or other academic qualifications, schools attended, and dates):

EMBA - University of the West Indies

Accredited Director - DEAP

Name: Juliana Alfred

Position: Director

Age: 44

Mailing Address: P.O. Box 8159, Choc, Castries, LC02 801

Telephone No.: () 1-758-484-8113

List jobs held during the past five years (including names of employers and dates of employment). Give brief description of current responsibilities.

Permanent Secretary - Government of Saint Lucia December 2013 - Present.

Responsibilities:

Serves as accounting officer by supervising management of the financial and physical resources in accordance with the Finance (Administration) Act and its supporting legislation/policies with a view to fostering good governance and accountability;

Provides advice and guidance in the formulation of appropriate policies for improved governance within the relevant sector through and/or dialogue with the Cabinet Secretary, permanent secretaries, and other stakeholders;

Researches and prepares policy submissions to the Cabinet of Ministers for consideration and approval through reports and memoranda to the Prime Minister which would facilitate the mandate of the department/Ministry;

Implements and oversees the implementation of policy decisions regarding the relevant sector through consultation with the boards and heads of Departments to ensure effective delivery of public goods and services in accordance with pertinent legislation;

Liases and collaborates with other stakeholders and partners for the development and review of legislation, regulations, policies and practices to develop governance capacity and improve performance in the delivery of public good and services.

Education (degrees or other academic qualifications, schools attended, and dates):

Caribbean Governance Training Institute - Chartered Director (C.Dir.) - January 2017;
Caribbean Centre for Development Administration (CARICAD) - Certificate from the Caribbean Leadership Project: Leadership Development Programme - June 2014 - July 2015;
University of the West-Indies, St. Augustine Campus, Trinidad - M.Sc. Government/Political Science - 2001 - 2002;
University of the West-Indies, St. Augustine Campus, Trinidad - B.Sc. Government/Political Science & History (Hons.) 1999 - 2001;
Sir Arthur Lewis Community College, Division of Teacher Education. UWI, Faculty of Education, Certificate 1994 -1996.

8. SUBSTANTIAL SHAREHOLDERS

- (a) Principal owners of the company (those who beneficially own more than 5% of the common and preferred stock presently outstanding whether directly or indirectly) starting with the largest common shareholder. Indicate by endnote any transaction where the consideration was not cash. State the nature of any such consideration.

SECURITIES NOW HELD:

Name: Address:	Class of Shares:	No. of Shares:	% of Total
Donald Monplaisir Holdings	Ordinary	943,856	14.41%
National Development Corporation	Ordinary	346,879	5.29%

- (b) Include all common shares issuable upon conversion of convertible securities and show conversion rate per share as if conversion has occurred.

UPON CONVERSION: N/A

Name: Address:	Class of Shares:	Conversion Rate:	No. of Shares upon Conversion	% of Total*

* Current holding of shares if conversion option were exercised.

9. Name and Address of Parent N/A

Name:	Address:	Country of Incorporation:	Countries of Registration (where applicable)	Name of the Exchange(s) on which the company's securities are listed:

10. Name(s) and Address(es) of Subsidiary(ies) N/A

Name:	Address:	Percentage Ownership:	Name of the Exchange(s) on which the company's securities are listed:

11. Name(s) and Address(es) of Affiliate(s)

Name:	Address:	Name of the Exchange(s) on which the company's securities are listed:
Caribbean Credit Card Corporation	Basseterre, St. Kitts	Not listed
East Caribbean Home Mortgage Bank	Basseterre, St. Kitts	Not listed
Eastern Caribbean Securities exchange	Basseterre, St. Kitts	Not listed
East Caribbean Financial Holding Company Ltd	Bridge Street, Castries, St. Lucia	ECSE
St. Lucia Electricity Services Ltd	John Compton Highway	ECSE

SIGNATURES

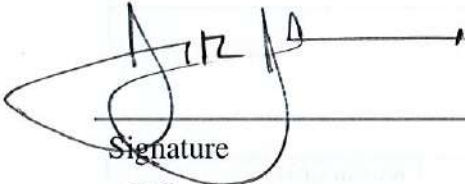
A Director, the Chief Executive and Corporate Secretary shall sign this Registration Statement on behalf of the company. By so doing each certifies that he has made diligent efforts to verify the material accuracy and completeness of the information herein contained.

Name of Chief Executive Officer:

Name of Director:

Johnathan Johannes

Richard Monplaisir



Signature



Signature

29 MAY 2020

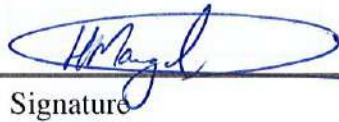
29 MAY 2020

Date

Date

Name of Corporate Secretary:

Henri-Jacques Mangal



Signature

29/5/2020

Date